

ARF 10/4/14 Research Ethics

Partnered with GreenBook

BEGINS AT 1:15 PM



Opening Remarks

Scott McDonald, Ph.D. President & CEO







Voice of the Consumer

Allie Bohm Policy Counsel





Public Knowledge

Public Knowledge promotes freedom of expression, an open internet, and access to affordable communications tools and creative works. We work to shape policy on behalf of the public interest.

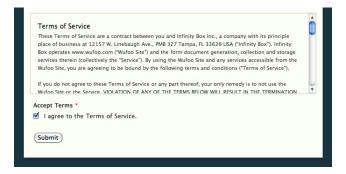
Voice of the Consumer



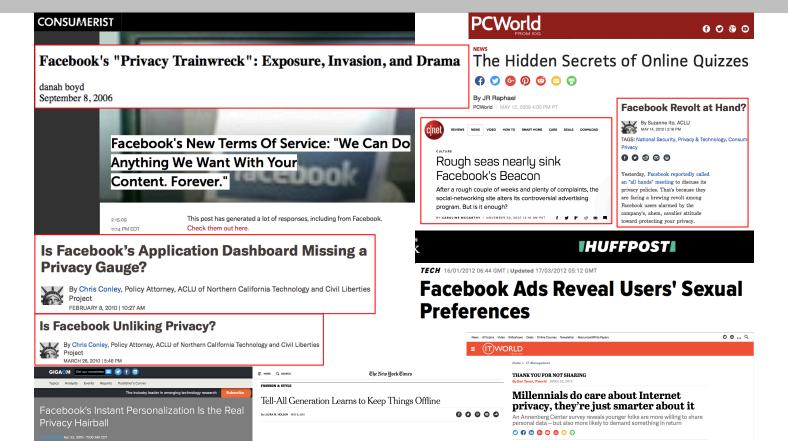


Carnegie Mellon Study

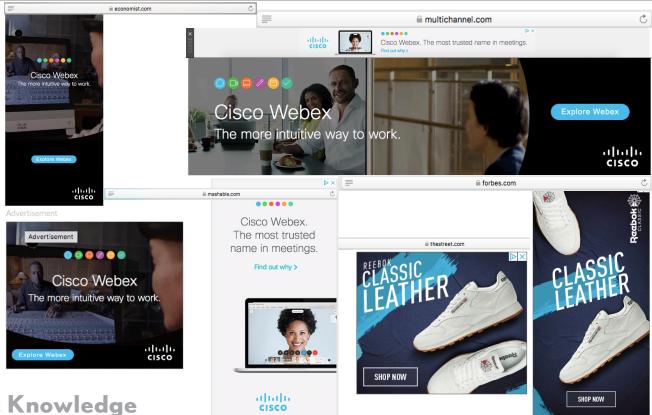




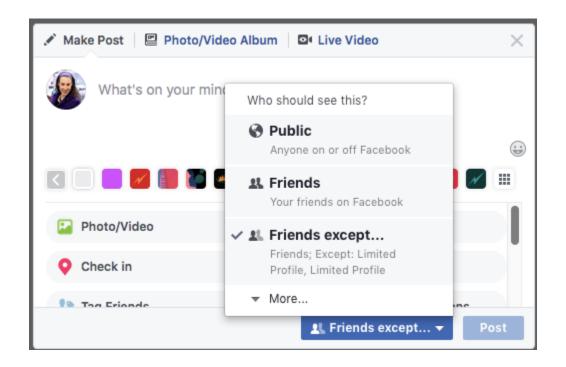
Users Care About Privacy



Targeted Adverting



Privacy Settings Can Be Misleading





Privacy Settings Can Be Misleading





History



Fair Information Practice Principles

- 1. There must be no personal-data record-keeping systems whose very existence is secret.
- 2. There must be a way for individuals to find out what information about them is in a record and how it is used.
- 3. There must be a way for individuals to prevent information about them obtained for one purpose from being used or made available for other purposes without their consent.
- 4. There must be a way for individuals to correct or amend a record of identifiable information about them.
- 5. Any organization creating, maintaining, using, or disseminating records of identifiable personal data must assure the reliability of the data for their intended use and must take reasonable precautions to prevent misuse of the data.

Sector-Specific Privacy Laws

PUBLIC LAW 100-618-NOV. 5, 1988

102 STAT, 3195

Public Law 100-618 100th Congress

An Act

To amend title 18, United States Code, to preserve personal pr the rental, purchase, or delivery of video tapes or similar aud

Be it enacted by the Senate and House of Repre United States of America in Congress assembled,

This Act may be cited as the "Video Privacy I

SEC. 2. CHAPTER 121 AMENDMENT.

- (a) In General.—Chapter 121 of title 18, United amended—
 - (1) by redesignating section 2710 as section 27 (2) by inserting after section 2709 the following

"§ 2710. Wrongful disclosure of video tape rental

- "(a) Definitions.—For purposes of this section—"(1) the term 'consumer' means any rente subscriber of goods or services from a video tyider;
- "(2) the term 'ordinary course of business' i collection activities, order fulfillment, request the transfer of ownership;

"(3) the term 'personally identifiable infor information which identifies a person as hav obtained specific video materials or services free provider; and

"(4) the term 'video tape service provider' mengaged in the business, in or affecting inter commerce, of rental, sale, or delivery of pr cassette tapes or similar audio visual material or other entity to whom a disclosure is made graph (D) or (E) of subsection (b/2), but only wi information contained in the disclosure.

"(b) VIDEO TAPE RENTAL AND SALE RECORDS service provider who knowingly discloses, to any periodentifiable information concerning any consumer shall be liable to the aggrieved person for the resubsection (d).

"(2) A video tape service provider may disclose pe able information concerning any consumer— "(A) to the consumer:

"(B) to any person with the informed, writte consumer given at the time the disclosure is sou "(C) to a law enforcement agency pursuar issued under the Federal Rules of Crimina equivalent State warrant, a grand jury subporter."

100 STAT. 1848 PUBLIC LAW 99-508-OCT. 21, 1986

Public Law 99–508 99th Congress

Oct. 21, 1986 [H.R. 4952]

To amend title 18, United States communications, other form

Electronic Communications Privacy Act of 1986. 18 USC 2510 Be it enacted by the Sena United States of America in C SECTION 1. SHORT TITLE.

This Act may be cited as the Act of 1986".

TITLE I—INTERCEPTI

SEC. 101. FEDERAL PENALTIES TIONS.

(a) Definitions.—(1) Sect Code, is amended— (A) by striking out "ar aural transfer" in lieu th

(B) by inserting "(incl switching station)" after (C) by striking out "as (D) by inserting before "or communications aff and such term includes nication, but such term cordless telephone comn the cordless telephone has

the cordless telephone hi (2) Section 2510(2) of title inserting before the semicol term does not include any els (3) Section 2510(4) of title (A) by inserting "or otl (B) by inserting ", elec

(B) by inserting , elect (4) Section 2510(5) of title clause (a)(i) by inserting be furnished by such subscriber of such service and used in (5) Section 2510(8) of title striking out "identity of the existence."

(6) Section 2510 of title

(A) by striking out "an

(B) by striking out the
inserting a semicolon in

(C) by adding at the en

"(12) 'electronic comm
signals, writing, images

15 U.S.C.A. § 6502

§ 6502. Regulation of unfair and deceptive acts and practices in connection with collection and use of personal information from and about children on the internet

Currentness

(a) Acts prohibited

(1) In general

It is unlawful for an operator of a website or online service directed to children, or any operator that has actual knowledge that it is collecting personal information from a child, to collect personal information from a child in a manner that violates the regulations prescribed under subsection (b) of this section.

(2) Disclosure to parent protected

Notwithstanding paragraph (1), neither an operator of such a website or online service nor the operator's agent shall be held to be liable under any Federal or State law for any disclosure made in good faith and following reasonable procedures in responding to a request for disclosure of personal information under subsection (b)(1)(B)(iii) of this section to the parent of a child.

(b) Regulations

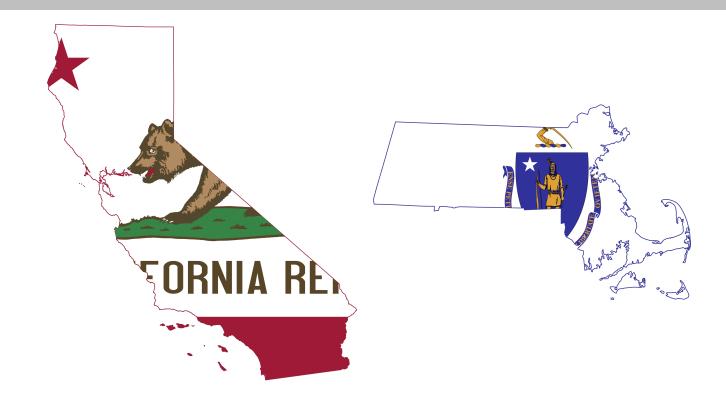
(1) In general

Not later than 1 year after October 21, 1998, the Commission shall promulgate under section 553 of Title 5 regulations that-

(A) require the operator of any website or online service directed to children that collects personal information from children or the operator of a website or online service that has actual knowledge that it is collecting personal information from a child-

(i) to provide notice on the website of what information is collected from children by the operator, how the operator uses such information, and the operator's disclosure practices for such information; and

State Laws



Section 5

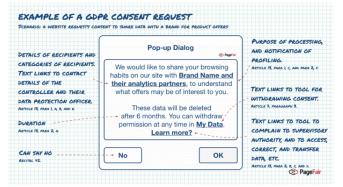




General Data Protection Regulation (GDPR)



Potential GDPR Consent Screens



TRACKING QUESTIONS THAT MUST BE ASKED AT INSTALLATION TRACKING QUESTIONS THAT MUST BE ASKED AT INSTALLATION BASED ON THE E-PRIVACY REGULATION DRAFT TEXT AMENDED BY THE EVROPEAN PARLIAMENT LIBE COMMITTEE'S RAPPORTEUR'S DRAFT BASED ON THE E-PRIVACY REGULATION DRAFT TEXT PROPOSED BY THE EUROPEAN COMMISSION. JANUARY 2017 REPORT JUNE 2017 **Tracking Preferences Tracking Preferences** THIS IS THE LIST OF THIS IS PROPOSED IN OPTIONS DESCRIBED IN RECITAL 23 AS AMENDED. Accept all tracking RECITAL 23, AND AMENDED RECITAL 23 BUT RECITAL 21 SAYS THAT Accept all cookies REQUIRED IN ARTICLE MAKES REJECTION OF CONSENT IS NOT REQUIRED THIRD PARTY Accept only first party tracking FOR "TECHNICAL STORAGE ARTICLE 10, PARA. 2 TRACKERS AND OR ACCESS WHICH IS Accept only first party cookies SAYS THAT A USER MUST COOKIES THE DEFAULT. Reject tracking unless strictly STRICTLY NECESSARY AND SELECT AN OPTION necessary for services I request PROPORTIONATE FOR ... THE BEFORE INSTALLATION Reject all cookies USE OF A SPECIFIC SERVICE CAN CONTINUE. EXPLICITLY REQUESTED BY Reject all tracking THE USER". SELECT AN OPTION TO CONTINUE OK PageFair PageFair

Recommendations

- Meaningful notice and consent.
- Robust security safeguards.
- Meaningful redress.

Where to Learn More

- Here's How Congress Should Respond to Facebook/Cambridge Analytica (blog):
 - https://www.publicknowledge.org/news-blog/blogs/heres-how-congressshould-respond-to-facebook-cambridge-analytica
- Letter to Congress re: Facebook Data Protection Hearing:
 - https://www.publicknowledge.org/documents/letter-to-senate-judiciary-andcommerce-committees-on-facebook-data-protection-hearing
- Is GDPR Right for the United States? (blog):
 - https://www.publicknowledge.org/news-blog/blogs/is-the-gdpr-right-for-theunited-states



How Adequate Are Existing Privacy Policies for Research? A Meta Analysis

Paul Donato
Chief Research Officer





Review Coverage

GDPR	Associations	Research	Social	Ad-Tech/ Tech	DAA
GDPR	AAPOR	comScore	Facebook	Amazon	2009 OBA
	AAAA	GFK	Instagram	Apple	2011 Multi Site Data
	ANA	IPSOS	Pinterest	Axciom/ Liveramp	2013 Mobile
	DMA*	Kantar	Snapchat	Google	2015 Cross Device
	ESOMAR	Nielsen	Spotify	Neustar	
	IAB*		Twitter	Oath	
	Insights Association			Oracle Data Cloud	
	NMSBA			Viant	

^{*} Treated in discussion with DAA

GDPR



Local EU Adjustment

Laws: adjust GDPR in defined areas, e.g., data subject's rights, DPO, employment data

ePrivacy regulation (planned)

Cookies, anaylitics, website, spammin

GDPR

Basic foundation including accountability, processing principles data subject rights, data transfer

Source: ANA ReedSmith

Some Key Requirements

- Readiness Plan
- Freely given unambiguous consent to drop cookies
- Analytics may require second consent
- Consent for profiling and auto-decisions
- Data Protection Officer
- Access to data, correct and erasure
- Allowable contacts: contract, loyalty program, contest, membership, balanced solicitation with prior purchase



Principles

- Chain of Trust
- Honesty
- Objective
- Integrity
- Quality

Participants

Mostly Research

- Delete PII asap
- No Selling
- No Direct Action
- Pre-Existing relation
 - No unsolicited email
 - Name Provider
- Indicate recontact
- Data Breach Notice
- Contractor level of care
- · Consent to forward PII

Profession

- No unfair criticism
- Promote Trust
- Guideline (Casro & DMA) enforcement
- US EU Safe Harbor
- Report sponsorship
- Address Complaints

Clients

- Methods Description
- Sample stats
- Sources of error
- Audit available
- Report multi-client

Compared to other sectors, association codes are very similar and strong but traditional. Of note, almost all include responsibilities to The Profession.



Participants

- Not forward PII unless contractor
- No Selling/Fund Raising
- Minimize data collection and retention
- Use cookies, flash, web beacons, log files, digital fingerprint, location
- CASRO Standards
- PII with consent or within subsidiaries
- Significant differences
 - Some detailed, some less
 - Some cover employees some not

Digital Participants

- References to DAA,NAI, EDAA
- Opt-out
- Minimal Collection
- YOY Retention
- Delete PII asap
- No PII to third parties
- Significant differences

Neuro

- References to ESOMAR, ARF, MMA and CASRO
- No Stress
- No incidental discovery
- No sales
- Consent to process
- Minimal PII
- Convey Purpose
- Very similar in content

Compared to other sectors, research codes are very different. Only digital references AdTech

Social Policies (2017 - 2018)

Public

- Safety
- Security
- Prevent Fraud
- Prevent Harm

Users

- Information used
 - You give
 - Your Use
 - Original Content
- To develop services
- To communicate
- Some mention:
 - Gyroscope, accelerometer, microphone, camera, location, wireless and app usage
 - Others: Posts are public
- Can delete, restrict but shares not deleted
- DAA codes referenced
- Less legalize

Research

- Product R&D
- · Research and Academia
 - Social Welfare
 - Technology Advancement
 - Public Interest
 - Health and well being

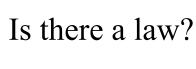
Similar in principles but significant differences driven by functionality



AdTech

- Info we collect
 - o You Give
 - Your Use
 - Our Ads
- Collect location, cookies, local storage,
 - To offer tailored content and ads
- Share personal information with consent
- Will share non-PII to advertisers, publishers, ...
- Share PII within company
- Will share with over 100 third parties
- Aboutads.com & Opt-out channel
- No time limits/4 mo to 5 yr limits
- Privacy By Design, TRUSTe
- Store Email Content
- Third-party, onboarding, retail, ...

There is a wide variety of data uses and hence content of data use policies



- Section 5 of the FTC Act, which states "unfair methods of competition in or affecting commerce, and unfair or deceptive acts or practices in or affecting commerce, are hereby declared unlawful." Advertisements or claims that misrepresent or omit information that would be material to consumers could be considered "deceptive."
- They include, but are not limited to, the following: <u>tell the truth about what the app can do</u>, disclose key information clearly and conspicuously, build privacy considerations into the app from the start, offer choices that are easy to find and easy to use, <u>honor your privacy promises</u>, protect kids' privacy, collect sensitive information only with consent, and keep user data secure.
- For a comprehensive list of these guidelines and a detailed explanation of each, please refer to the FTC's guide "Marketing Your Mobile App, Get It Right from the Start".
- Enforcement Actions
 - Visio
 - Turn
 - Jerk
 - Epic
 - o Silver Push



Online Behavioral Advertising

- Principles proposed by the FTC in 2009
- Educate consumers and business
- Transparency to consumers
- Service providers & desktop applications w access to URLs need consent in advance of advertising
- Consent before change of data use
- Consent for collecting sensitive data
- Mechanism for policing and reporting violations

Multi Site Data

• For non-advertising applications

Mobile Environments

- OBA Expanded to mobile (cross-app data, "precise" location data, personal, photo and directory data)
- Consent on one device implies consent on others

Cross Device

 Reaffirms consent on one device implies consent on others but requires transparency

















ADVERTISING SELF-REGULATORY COUNCIL/COUNCIL OF BETTER BUSINESS BUREAUS

ONLINE INTEREST-BASED ADVERTISING ACCOUNTABILITY PROGRAM

FORMAL REVIEW

Case Number: 71-2017

COMPANY:)
)
)
CHALLENGER:)
Online Interest-Based)
Advertising Accountability Program)
)

DECISION

DATE: January 25, 2017



A few features a review would consider:

- Language less precise
 - o as long as necessary, reasonable steps, reasonable security, limited retention...
- Withdrawal of consent does not withdraw the right to data retention
- Should deletion be considered withdrawal of consent?
- De-identification is a key part to many of these policies, but the process of de-identification is not specified in any detail
 - For example, The Netflix Competition
- No mention of encryption
- Privacy Analytics
- Research on Language
- https://centerforplainlanguage.org/ (Time.com)
 - Making a Science of Privacy Statements















Summary & Recommendation

GDPR	Associations	Research	Social	Ad-Tech/ Tech	DAA
EU foundation with to-be-passed ePrivacy controlling cookies, messaging , , emails	Codes similar, strong but traditional	Significant differences in detail, little related to AdTech	Generally very detailed and driven by functionality	Wide variety, sometimes sharing of PII across member companies, sometimes third parties	The basis of most social and AdTech policies

One opportunity is for the working group to evaluate and update the DAA standards into a single document that reflects AdTech in 2018.

Fireside Chat about GDPR



Scott McDonald, Ph.D. President & CEO





Ben Hoxie Director of Product Management

mparticle



Industry Panel Input on Data & Research Privacy Standards

Moderator



Paul Donato CRO ARF



Rick Bruner Vice-Chair, USA I-COM



Rolfe Swinton Director, Data Assets GfK



Tania Yuki Founder & CEO Shareablee



